# FIFTH FEE TRANSMITTAL for FY 2006 Effective 10/01/03. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27
TOTAL AMOUNT OF PAYMENT 130.00

	Complete if Known	
Patent Number	7,052,594	
Filing Date	January 31, 2002	
First Named Inventor	Ronald E. PELRINE	
Examiner Name	Donald R. VALENTINE	
Group Art Unit	1742	
Attorney Docket No.	8500-0267	

	METH	HOD OF	PAYME	NT (check all that app	oly)			FE	E CALC	JLATION (continued)	·
					-**-	3. ADDI	TIONAL	FEES			
Check		Credit card		Money Order   Othe	r None	Large		Small	Entity		
_			· L "	noney Order Othe	ii Li None	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Depos						Code	(\$)	Code	(\$)		
		ount No.		580		1051	130	2051	65	Surcharge - late filing fee or oath	
Depo	osit Acco	ount Name		tz, Levin, Cohn, Ferris, eo, P.C.	Glovsky and	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Comm	The Commissioner is authorized to: (check all that apply)		1053	130	1053	130	Non-English specification	<del>                                     </del>			
L Charge	e fee(s)	indicated t	pelow	Charge any underpa any overpayments	lyment or credit	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge	e any ac	ditional fe	e(s) durir	ng the pendency of this a	pplication	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
				cept for the filing fee to	o the	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
above-iden	itified de	eposit acco	ount.			1251	120	2251	60	Examiner action Extension for reply within first	<b></b>
		FI	EE CAL	CULATION		1	450	2252	225	month Extension for reply within second	
1 2101	. =	0.0515		5		1252				month	
1. BASIC	FILIN	IG, SEAR	RCH AN	D EXAMINATION FE	ES	1253	1,020	2253	510	Extension for reply within third month	
Large E	ntity Fee	Small E	Entity Fee	Fee Description	Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month	
Code	(\$)	Code	(\$)		- ree raid	1255	2,160	2255	1,080	Extension for reply within fifth	
1001	790	2001	395	Utility filing fee (filed	1					month	$\vdash$
				on or before 12/8/04)		1401	500	2401	250	Notice of Appeal	ļ
1011	300	2011	150	Utility filing fee (filed after 12/8/04)		1402	500	2402	250	Filing a brief in support of an appeal	
1111	500	2111	250	Search Fee		1403	1,000	2403	500	Request for oral hearing	
1311	200	2311	100	Examination Fee		1451	1,510	1451	1,510	Petition to institute a public use	
1081	250	2081	125	For each additional	1					proceeding	ļ
		l		50 sheets exceeding 100	1	1452	500	2452	250	Petition to revive – unavoidable	$\vdash$
		ı		100	<b>L</b>	1453	1,500	2453	750	Petition to revive – unintentional	$\vdash$
				OUDTOTAL (4)		1501	1,400 800	2501 2502	700 400	Utility issue fee (or reissue)	<u> </u>
				SUBTOTAL (1)	\$	1502 1503	1,100	2502	550	Design issue fee Plant issue fee	
l					_	1807	50	1807	50 50	Processing fee under 37 CFR	$\vdash$
2. EXTR	A CLA	IM FEES	FOR U	TILITY AND REISSU	E					1.17(q)	
				Extra Fee from Claims below	n Fee Paid	1806	180	1806	180	Submission of Information Disclosure Stmt	
Total Clai	ims		- 20** =		=	8021	40	8021	40	Recording each patent assignment	
Independ			- 3** =		=					per property (times number of properties)	
Claims Multiple [	Depende	ent			_	1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Large E	ntity	Small E	Entity			1810	790	2810	395	For each additional invention to be	
Fee	Fee	Fee	Fee	Fee Description		1801	790	2801	395	examined (37 CFR § 1.129(b)) Request for Continued Examination	<b></b>
Code 1202	(\$) 50	Code 2202	(\$) 25	Claim in excess of 20		1		2801		(RCE)	
1202	200	2202	25 100	Independent claims in	excess of 3	1802	900	1802	900	Request for expedited examination	
1203	360	2203	180	Multiple dependent cla				l		of a design application	$\sqcup$
1204	200	2204	100	** Reissue independer		1814	130	2814	65.00	Statutory Disclaimer	<u></u>
1				original patent						Petition Under 37 CFR § 1.324(a)	130.00
1205	50	2205	25	** Reissue claims in ex		Reduce	ed by Basi	c Filing Fe	e Paid	SUBTOTAL (3)	\$130.00
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				SUBTOTAL (2)	\$						
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SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Flavio M. Rose	Registration No. (Attorney/Agent)	40,791	Telephone	(650) 251-7700
Signature	FMM			Date	September 29, 2006

OE /						<i>}†</i> }
	TRANSMITTAL		Patent Number	7,052,594		
3 5000 B	(to be used for all correspondence after initial filing)		Filing Date First Named Inventor	January 31, 2002		
OCT (to be used)			Art Unit	Ronald E. PELRIN	E 	ť
TO THE PROPERTY.			Examiner Name		TIME	
	 tition		Attorney Docket	Donald R. VALENTINE		
Wall Glob   Pet			Number	8500-0267		
		ENCLOSU	RES (Check all that	apply)		
Fee Trans  Check Charge No. 1 37 CFF 37 CFF The Comminant overpaymover	Fee(s) due: \$130.00 Fee Transmittal Check enclosed Charge Deposit Account No. 18-0580 The Commissioner is authorized to charge any underpayment to Deposit Account No. 18-0580  Patent Under 37 CFR Statement of Non-D by Person Being Adder Change Inventorship in (executed by John S. E) Statement of Non-D by Currently Named Involved Invol		of Non-Deceptive Intended of Non-Deceptive Intended by Petition or Ship in Patent ohn S. Bashkin) of Non-Disagreement amed Inventors to Adin as a Joint Inventor outed by Ronald E. by D. Kornbluh of Agreement by lange Inventorship in location 3.73(b) the from currently named International from Inventor John States International	PTO-Form 1449		
			REMARKS			ᅦ
Firm or Individu			PLICANT, ATTORNE	Y, OR AGENT		
(p	Firm or Individual Name (print/type) Flavio M. Rose, Reg. No. 40,791 Mintz, Levin, Cohn, Ferris, Glov		10,791 , Glovsky and Pope	o, P.C.	(650) 251-7700	$\neg$
S	Signature			Date	September 29, 2006	
I hereby certify that the envelope addressed to	is correspondence is being	a deposited with the	United States Postal Servi Alexandria, VA 22313-145		as first class mail in an	$\exists$
	Katherine Stofer					$\dashv$
Signature	Q8183			Da	te September 29, 2006	
	C					



In Re U.S. Patent of:

Ronald E. PELRINE et al.

Patent No.: 7,052,594

Filing Date: January 31, 2002

Title: DEVICES AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC

SHEET DEFLECTION

## PETITION TO CORRECT INVENTORSHIP IN PATENT UNDER 37 CFR § 1.324(A)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a petition to correct the inventorship of the subject patent so as to add John S. Bashkin as a named joint inventor of the subject patent. The currently named inventors are Ronald E. Pelrine and Roy D. Kornbluh.

Pursuant to 37 CFR § 1.324(b), enclosed are the following documents in support of this petition:

- 1. Statement of Non-Deceptive Intent By Person Being Added By Petition to Change Inventorship in Patent, executed by John S. Bashkin;
- 2. Statement of Non-Disagreement By Currently Named Inventors to Add John S. Bashkin as a Joint Inventor in Patent, executed by Ronald E. Pelrine and Roy D. Kornbluh;
- 3. Statement of Agreement by Assignee to Change Inventorship in Patent and Certification Under 37 CFR § 3.73(b);
  - 4. Assignment from currently named inventors to SRI International;
  - 5. Assignment from Inventor John S. Bashkin to SRI International;
  - Declaration signed by all inventors;
- 7. A check for \$130 to cover the fee set forth in 37 CFR § 1.20(b) required for filing this petition.

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Atty Dkt No. 8500-0267 SRI No. US-4598-2 Patent No. 7,052,594

Thus, by way of this petition, favorable consideration to add John S. Bashkin as a joint inventor in the subject patent is respectfully solicited.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-0580.

Respectfully submitted.

By:

Flavio M. Rose, Reg. No. 40,791 c/o MINTZ LEVIN

1400 Page Mill Road Palo Alto, CA 94304-1124

Phone (650) 251-7700 Fax (650) 251-7739

Customer No. 23980

September 28, 2006

TRA 2195280v.1



In Re U.S. Patent of:

Ronald E. PELRINE et al.

Patent No.: 7,052,594

Filing Date: January 31, 2002

Title: DEVICE AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC

SHEET DEFLECTION

## STATEMENT OF NON-DECEPTIVE INTENT BY THE PERSON BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN PATENT UNDER 37 C.F.R. § 1.324(A)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, John S. Bashkin, hereby declare as follows:

- 1. That I am a co-inventor of U.S. Patent No. 7,052,594, filed on January 31, 2002, along with Ronald E. Pelrine and Roy D. Kornbluh.
- 2. That it has now become apparent that the inventorship of the above referenced patent application was originally incorrectly identified as being Ronald E. Pelrine and Roy D. Kornbluh, and that such identification was done without any deceptive intention on my part.

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Date: 9/18/06

Name: John S. Bahskin

Respectfully submitted

TRA 2195283v.1



In Re U.S. Patent of:

Ronald E. PELRINE et al.

Patent No.: 7,052,594

Filing Date: January 31, 2002

Title: DEVICE AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC

SHEET DEFLECTION

#### STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS TO ADD JOHN S. BASHKIN AS A JOINT INVENTOR IN PATENT

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We, Ronald E. Pelrine and Roy D. Kornbluh, the currently named inventors of the subject patent, are in non-disagreement to add John S. Bashkin as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add John S. Bashkin as a joint inventor in the subject patent.

Respectfully submitted,

By:

First Joint Inventor

Date: <u>9/11/06</u>

Date: <u>185e006</u>

By: Roy D. Kornbluh

Second Joint Inventor



In Re U.S. Patent of:

Ronald E. PELRINE et al.

Patent No.: 7,052,594

Filing Date: January 31, 2002

Title: DEVICE AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC

SHEET DEFLECTION

## STATEMENT OF AGREEMENT BY ASSIGNEE TO CHANGE INVENTORSHIP IN PATENT AND CERTIFICATION UNDER 37 CFR § 3.73(B)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SRI International, the assignee of the subject patent, is in full agreement with the currently named inventors, Ronald E. Pelrine and Roy D. Kornbluh, to add John S. Bashkin as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent under 37 CFR § 1.324(a) submitted concurrently herewith, please add John S. Bashkin as a joint inventor in the subject patent.

SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, CA 94025, certifies that it is the assignee of the entire right, title and interest in the U.S. patent identified above by virtue of an Assignment from each of the inventors of the U.S. patent identified above. The Assignments were recorded in the Patent and Trademark Office on April 22, 2002 at Reel 012613, Frame 0477, and on May 17, 2004 at Reel 014640, Frame 0148. Copies of the Assignments are attached.

The undersigned has reviewed the Assignments of the U.S. patent identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Atty Dkt No. 8500-0267 SRI No. US-4598-2 Patent No. 7,052,594

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under 18 USC § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

SRI INTERNATIONAL

= 9/2+10b

Ву:

Assistant Secretary

SRI International 333 Ravenswood Avenue Menlo Park, CA 94025

#### **ASSIGNMENT**

**JOINT** 

THIS ASSIGNMENT, by Ronald E. Pelrine and Roy D. Kornbluh (hereinafter referred to as the assignors), residing at Boulder, Colorado and Palo Alto, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in **DEVICES AND**METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC SHEET DEFLECTION set forth in an application for Letters Patent of the United States, bearing Serial No. 10/066,407, filed on January 31, 2002; and

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters

Atty Dkt No. 8500-0267 SRI No. P-4598

Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date <u> </u>	Name of Inventor	Ronald E. Pelrine
Date	Name of Inventor	Roy D. Kornbluh

#### **ASSIGNMENT**

**JOINT** 

THIS ASSIGNMENT, by Ronald E. Pelrine and Roy D. Kornbluh (hereinafter referred to as the assignors), residing at Boulder, Colorado and Palo Alto, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in DEVICES AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC SHEET DEFLECTION set forth in an application for Letters Patent of the United States, bearing Serial No. 10/066,407, filed on January 31, 2002; and

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters

Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date	Name of Inventor	
		Ronald E. Pelrine
Date 29 Mar OZ	Name of Inventor _	Roy D. Kornbluh

#### **ASSIGNMENT**

14 COOR INT OC.OD III WEED A CHEWER PE

THIS ASSIGNMENT, by John S. Bashkin (hereinafter referred to as the assignors), residing at 43623 Tonica Road, Fremont, CA 94539, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in DEVICES AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC SHEET DEFLECTION set forth in an application for Letters Patent of the United States, bearing Serial No. 10/066,407, filed on January 31, 2002; and

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title

Atty Dkt No. 8500-0267 SRI No. P-4598

and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignce, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignce, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date:

John S. Bashkin

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#### DECLARATION OF INVENTORSHIP FOR UTILITY PATENT APPLICATION

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT: My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DEVICES AND METHODS FOR CONTROLLING FLUID FLOW USING ELASTIC SHEET DEFLECTION, the specification of which

herewith		
was filed on January 3	1, 2002, and assigned Serial	No. 10/066.407.

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge and understand that I am an individual who has a duty to disclose information which is material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations §§ 1.56(a) and (b) which state:

- "(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and

- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability."

I do not know and do not believe this invention was any of the following: known or used in the United States of America before my or our invention thereof; patented or described in any printed publication in any country before my or our invention thereof; patented or described in any printed publication in any country more than one year prior to said application; in public use or on sale in the United States of America more than one year prior to this application; or the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America from an application filed by me or my legal representatives or assigns more than one year prior to this application.

I hereby claim priority benefits under Title 35, United States Code § 119(a) of any foreign filed application(s) for patent as indicated below.

Application No.	Date of Filing (day/month/year)	Priority Claimed		
		Yes No		
		Yes No		

I hereby claim priority benefits under Title 35, United States Code § 119(e)(1) of any United States provisional application(s) for patent as indicated below.

Application No.	Date of Filing (day/month/year)	Priority Claimed
		Yes No

I hereby claim benefit under Title 35, United States Code § 120 of any United States Patent application(s) listed below.

Application No.	Date of Filing (day/month/year)	Priority Claimed	
		Yes No	
		Yes No	

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States Patent application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulation § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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